

Appropriate Investigation to be completed as per Mechanism 11.0

To be completed and submitted to SRA as soon as practicable

Maximum 30 Calendar Days from Date of Incident - For all Serious Incidents notified to SRA by Form G

Reporting To:		Reporting Date: (DD/MM/YYYY)	
Part A – Incident Information (as notified in Form G)			
1. Reporting Entity Information:		Incident No. (for official use by SRA)	
Name of Entity:			
Sector:		Classification Code:	
Registration Number:			
Address of Entity:			
Authorized Contact Person:		Email Address:	
Telephone Number:		Mobile Number:	
2. Reporting on behalf of a Non-Nominated Contractor <i>(hired by or working for Entity but not Nominated currently with any concerned SRA/does not fall under any current Sector):</i>			<input type="checkbox"/> Yes <input type="checkbox"/> No
Name of Contractor:			
Type of Business:			
Address:			
3. Incident Information:			
Date of Incident: (DD/MM/YYYY)		Time (24 hr):	
Incident Type:			
Lost Time Injuries	<input type="checkbox"/> Fatality		
	<input type="checkbox"/> Permanent Total Disability		
	<input type="checkbox"/> Permanent Partial Disability		
	<input type="checkbox"/> Lost Workdays Injury		
	<input type="checkbox"/> Lost Workdays Occupational Illness		
<input type="checkbox"/> Serious Dangerous Occurrence			
4. Incident Details:			
Brief description of the main circumstances leading to the Incident: <i>(Attach additional pages if requires)</i>			
Incident Location on Site:			
Incident Workplace Address			
Region where incident occurred:	<input type="checkbox"/> Abu Dhabi	<input type="checkbox"/> Al Ain	<input type="checkbox"/> Western region
Applicable Reports:	<input type="checkbox"/> Police	<input type="checkbox"/> Medical	<input type="checkbox"/> Investigation report and Photos <input type="checkbox"/> Other (Specify)
Attached:	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
5. Injured Person's Personal Details (For Injuries): <i>In case of an incident with more than one injured person, complete the information for each person using separate forms</i>			
Name:		Occupation:	
Relationship with Entity:	<input type="checkbox"/> Entity Employee	<input type="checkbox"/> Contractor Employee	<input type="checkbox"/> Other Person (e.g. Visitor,)

Nationality:		Date of Birth:	
Passport Number:		Length of Service:	___ Years ___ Months
Contact Phone Number:		Gender:	<input type="checkbox"/> Male <input type="checkbox"/> Female

Part B – Incident Investigation Summary	
1. Incident Causes Details: <i>To be supported with the incident investigation report</i>	
Immediate Cause (Unsafe Act)	<input type="checkbox"/> Failure to secure <input type="checkbox"/> Failure to warn <input type="checkbox"/> Removing / Defeating Safety Devices <input type="checkbox"/> Failure to use PPE properly <input type="checkbox"/> Operating at improper speed <input type="checkbox"/> Lack of awareness / knowledge <input type="checkbox"/> Lack of attention / concentration <input type="checkbox"/> Violation / taking shortcuts <input type="checkbox"/> Operating equipment without authority <input type="checkbox"/> Servicing equipment in operation <input type="checkbox"/> Using defective equipment / tools <input type="checkbox"/> Using equipment improperly <input type="checkbox"/> Improper lifting/ loading/ placement <input type="checkbox"/> Improper position for task <input type="checkbox"/> Horseplay (<i>practical joke with harmful impacts</i>) <input type="checkbox"/> Others _____
Immediate Cause (Unsafe Conditions)	<input type="checkbox"/> Inadequate guards or barriers <input type="checkbox"/> Inadequate warning system or notice <input type="checkbox"/> Inadequate ventilation <input type="checkbox"/> Fire and explosion hazards <input type="checkbox"/> High / Low temperature exposure <input type="checkbox"/> Hazardous gases/dusts/vapors/fumes <input type="checkbox"/> Defective tools, equipment or materials <input type="checkbox"/> Others _____ <input type="checkbox"/> Inadequate or improper protective equipment <input type="checkbox"/> Inadequate or excess illumination <input type="checkbox"/> Congestion/ restricted action/ poor access <input type="checkbox"/> Poor housekeeping, disorder <input type="checkbox"/> Excessive noise exposure <input type="checkbox"/> Radiation exposure <input type="checkbox"/> Equipment failure
Root Causes (Personal factor)	<input type="checkbox"/> Physical Capability <i>(Any sensory deficiency, Inadequate size or strength or physical disabilities)</i> <input type="checkbox"/> Mental State <i>(poor judgment, memory failure, poor condition, fears or emotional disturbance)</i> <input type="checkbox"/> Behavior <i>(save time, avoids discomfort, improper supervisory, inadequate disciplinary process or inappropriate aggression)</i> <input type="checkbox"/> Human Error <input type="checkbox"/> Physical Condition <i>(previous injury/illness, Fatigue, blood sugar or Impairment due to drugs)</i> <input type="checkbox"/> Skill Level <i>(Inadequate required skill, lack of coaching on skill or infrequent performance of skill)</i> <input type="checkbox"/> Mental Stress <i>(Frustration, confusion/conflicting directions, emotional overload, extreme meaningless activities or concentration/judgment demands)</i> <input type="checkbox"/> Others _____
Root Causes (System Factor)	<input type="checkbox"/> Inadequate Training / Knowledge transfer <input type="checkbox"/> Inadequate / Missing Work Procedures (SoP) <input type="checkbox"/> Inadequate Purchasing/Material handling <input type="checkbox"/> Inadequate Tools/Equipment <input type="checkbox"/> Inadequate Risk Assessment / Management <input type="checkbox"/> Inadequate Contractor Management <input type="checkbox"/> Inadequate Management of Change <input type="checkbox"/> Others _____ <input type="checkbox"/> Inadequate Leadership Supervision <input type="checkbox"/> Inadequate Incident Investigation / Analysis <input type="checkbox"/> Inadequate Engineering / Design / Controls <input type="checkbox"/> Inadequate Maintenance <input type="checkbox"/> Inadequate Communication <input type="checkbox"/> Inadequate Planned Inspections <input type="checkbox"/> Inadequate Emergency Response Plan

2. Injury Details:				
<i>To be supported with diagnosis by Licensed Health Care Professional and/or Medical Report</i>				
Nature of Injury / Illness:	<input type="checkbox"/> Abrasions / Bruising <input type="checkbox"/> Burn <input type="checkbox"/> Cuts/ Laceration / Open Wound <input type="checkbox"/> Electric Shock <input type="checkbox"/> Foreign Body in Eye <input type="checkbox"/> Heat Related Illness <input type="checkbox"/> Nerve / Spinal Cord Injury <input type="checkbox"/> Poisoning / Toxic Effect – Inhalation <input type="checkbox"/> Skin Irritation / Disease	<input type="checkbox"/> Amputation - Traumatic <input type="checkbox"/> Concussion <input type="checkbox"/> Hearing Loss / Deafness <input type="checkbox"/> Foreign Body under Skin <input type="checkbox"/> Infectious Disease <input type="checkbox"/> Occupational Illness / Disease <input type="checkbox"/> Psychological (Stress) <input type="checkbox"/> Strain / Sprain <input type="checkbox"/> Other _____	<input type="checkbox"/> Bite / Sting <input type="checkbox"/> Crush / Internal Injury <input type="checkbox"/> Dislocation <input type="checkbox"/> Fracture <input type="checkbox"/> Hernia <input type="checkbox"/> Musculoskeletal Disorder - Chronic / RSI <input type="checkbox"/> Poisoning / Toxic Effect - Ingestion <input type="checkbox"/> Respiratory Disease <input type="checkbox"/> Other _____	
Mechanism of Injury / Illness:	<input type="checkbox"/> Bite / Sting <input type="checkbox"/> Chemicals / Substances / Radiation <input type="checkbox"/> Extreme Temperature / Fire <input type="checkbox"/> Hit by Moving Object / Crush / Vehicle <input type="checkbox"/> Occupational Violence <input type="checkbox"/> Repetitive Motion <input type="checkbox"/> Struck by Falling Object	<input type="checkbox"/> Biological Factors <input type="checkbox"/> Drowning / Submersion <input type="checkbox"/> Electricity <input type="checkbox"/> Manual Handling <input type="checkbox"/> Penetrating Injury (needle stick, puncture wound) <input type="checkbox"/> Slip, Trip and Fall <input type="checkbox"/> Other Unspecified Mechanism:	<input type="checkbox"/> Cave-In or Collapse <input type="checkbox"/> Dust / Fumes / Gases <input type="checkbox"/> Equipment / Property Damage <input type="checkbox"/> Fall from Height <input type="checkbox"/> Mental Stress <input type="checkbox"/> Sound / Pressure	
Agency / Source of Injury / Illness:	<input type="checkbox"/> Animal / Human <input type="checkbox"/> Fixed Machinery / Plant <input type="checkbox"/> Mobile Plant / Equipment <input type="checkbox"/> Powered Equipment / Tools / Appliances <input type="checkbox"/> Sharps / Scalpels / Needles / etc.	<input type="checkbox"/> Confined Space <input type="checkbox"/> Infectious Agent <input type="checkbox"/> Non-Powered Equipment / Tools / Appliances <input type="checkbox"/> Road Transport / Vehicles <input type="checkbox"/> Trench or Excavations	<input type="checkbox"/> Environmental Conditions <input type="checkbox"/> Materials or Chemical Substances <input type="checkbox"/> Scaffolding or Ladders <input type="checkbox"/> Other	
Bodily Location:	<input type="checkbox"/> Head / Neck <input type="checkbox"/> Trunk <input type="checkbox"/> Upper Extremity <input type="checkbox"/> Lower Extremity <input type="checkbox"/> Internal Organs <input type="checkbox"/> General	<input type="checkbox"/> Cervical Spine <input type="checkbox"/> Face (excluding eye) <input type="checkbox"/> Neck <input type="checkbox"/> Abdomen <input type="checkbox"/> Pelvis <input type="checkbox"/> Clavicle (Collar Bone) <input type="checkbox"/> Forearm <input type="checkbox"/> Thumb <input type="checkbox"/> Ankle <input type="checkbox"/> Hip / Groin <input type="checkbox"/> Thigh <input type="checkbox"/> Arteries <input type="checkbox"/> Intestines <input type="checkbox"/> Lungs <input type="checkbox"/> Heat Related	<input type="checkbox"/> Ear <input type="checkbox"/> Forehead <input type="checkbox"/> Nose <input type="checkbox"/> Back <input type="checkbox"/> Spine <input type="checkbox"/> Elbow <input type="checkbox"/> Hand <input type="checkbox"/> Upper Arm <input type="checkbox"/> Buttocks <input type="checkbox"/> Knee <input type="checkbox"/> Toes <input type="checkbox"/> Brain <input type="checkbox"/> Kidney <input type="checkbox"/> Spleen <input type="checkbox"/> Occupational Illness	<input type="checkbox"/> Eye <input type="checkbox"/> Mouth <input type="checkbox"/> Scalp / Skull <input type="checkbox"/> Genitals <input type="checkbox"/> Thorax <input type="checkbox"/> Fingers (other than Thumbs) <input type="checkbox"/> Shoulder <input type="checkbox"/> Wrist <input type="checkbox"/> Foot <input type="checkbox"/> Lower Leg <input type="checkbox"/> Heart <input type="checkbox"/> Liver <input type="checkbox"/> Stomach <input type="checkbox"/> Other:

3. Additional Information:

(additional information to complete the investigation as required by clause 5.4 of OSHAD-SF Mechanism 11.0 - to include information not already covered by Form G1.)

Max word count 200 words, further information to be provided in the form of an investigation report.

4. Actions Taken Immediately after the Incident:

(Attach additional pages if more space is required)

No.	Actions	Responsibility	Date Completed:
1.			
2.			
3.			

5. Incident Root Cause(s):

(Refer to Section 1. Attach additional pages if more space is required)

1.	
2.	
3.	

6. Corrective Actions to prevent Recurrence:

(Attach additional pages if more space is required)

No.	Actions:	Person Responsible:	Target Date
1.			
2.			
3.			

7. Incident Cost:

(Approximate / Best Estimate)

No.	Item / Area	Amount (Dhs.)
1.	<input type="checkbox"/> Injury Cost (Treatment, Hospital, Transport, Insurance, etc.)	
2.	<input type="checkbox"/> Legal Cost (Compensation claims, judicial prosecutions, etc. – Federal Law No. 8)	
3.	<input type="checkbox"/> Productivity Cost (Business disruptions, Delays, Production loss / day, Material, Salaries, etc.)	
4.	<input type="checkbox"/> Asset Cost (Property, Machinery, Equipment, Structure, Vehicle, etc. – Repair & Maintenance)	
5.	<input type="checkbox"/> Asset Cost (Property, Machinery, Equipment, Structure, Material, Vehicle, etc. – Replacement)	
6.	<input type="checkbox"/> Enforcement Action (Penalty Issued by Authority)	

7.	<input type="checkbox"/>	Incident Scene / Area Restoration Cost (arrangements to making safe, cleanup, etc.)	
8.	<input type="checkbox"/>	Other Cost relevant to / associated with the Incident	
9.		Total Cost	

8. Risk Assessment:

(considering / implementing the post incident corrective actions and controls): Refer to OSHAD SF Technical Guideline on Process of Risk Management

Probability:	<input type="checkbox"/> Rare	<input type="checkbox"/> Possible	<input type="checkbox"/> Likely	<input type="checkbox"/> Often	<input type="checkbox"/> Frequent
Severity of Consequence:	<input type="checkbox"/> Insignificant	<input type="checkbox"/> Minor	<input type="checkbox"/> Moderate	<input type="checkbox"/> Major	<input type="checkbox"/> Catastrophic
Level of Residual Risk:	<input type="checkbox"/> Low	<input type="checkbox"/> Moderate	<input type="checkbox"/> High	<input type="checkbox"/> Extreme	

9. Declaration by Injured Person (If applicable / possible)

I declare that all information provided in this document is true, correct and complete.

Name of Injured Person or Representative:		Signature of Injured Person or Representative:	
Date : (DD/MM/YYYY)			

Declaration by Reporting Entity:

- I declare that all information provided in this document is true, correct and complete.
- Complete investigation report attached – as per *Mechanism 11.0 – Incident Notification, Investigation and Reporting*
- Relevant evidence included / attached to report (e.g. Copies of Relevant Procedures, Permits to Work, Photos, Drawings, MSDS, Copy of Police Report, Copy of Medical Report, Interviews, etc.)
- I declare that corrective actions listed in this form and/or the attached investigation report will be fully implemented in a timely manner

Incident Investigation Status:	<input type="checkbox"/> Closed – Completed	<input type="checkbox"/> Report attached
Signature of the CEO / MD: (Top Manager)		Official Stamp:
Date : (DD/MM/YYYY)		

Official Use by SRA	
Requires Reporting to OSHAD	<input type="checkbox"/> Yes <input type="checkbox"/> No
Requires SRA Investigation / Follow-up	<input type="checkbox"/> Yes <input type="checkbox"/> No
Remarks:	
Relevant Authority Stamp	Entered into Database by:
	Name:
	Signature:
	Date: (DD/MM/YYYY)
	Reviewed by:
	Name:
	Signature:
	Date: (DD/MM/YYYY)

Note: Personal information will not be disclosed to other parties without entity's consent unless required to do so by law