

## Appropriate Investigation to be completed as per Mechanism 11.0

To be completed and submitted to SRA as soon as practicable

Maximum 30 Calendar Days from Date of Incident - For all Serious Incidents notified to SRA by Form G

Reporting To:		Reporting Date: (DD/MM/YYYY)	
<b>Part A – Incident Information (as notified in Form G)</b>			
<b>1. Reporting Entity Information:</b>		<b>Incident No. (for official use by SRA)</b>	
Name of Entity:			
Sector:		Classification Code:	
Registration Number:			
Address of Entity:			
Authorized Contact Person:		Email Address:	
Telephone Number:		Mobile Number:	
<b>2. Reporting on behalf of a Non-Nominated Contractor</b> (hired by or working for Entity but not Nominated currently with any concerned SRA/does not fall under any current Sector):			<input type="checkbox"/> Yes <input type="checkbox"/> No
Name of Contractor:			
Type of Business:			
Address:			
<b>3. Incident Information:</b>			
Date of Incident: (DD/MM/YYYY)		Time (24 hr):	
<b>Incident Type:</b>			
<b>Lost Time Injuries</b>	<input type="checkbox"/> Fatality		
	<input type="checkbox"/> Permanent Total Disability		
	<input type="checkbox"/> Permanent Partial Disability		
	<input type="checkbox"/> Lost Workdays Injury		
	<input type="checkbox"/> Lost Workdays Occupational Illness		
<input type="checkbox"/> Serious Dangerous Occurrence			
<b>4. Incident Details:</b>			
Brief description of the main circumstances leading to the Incident: (Attach additional pages if requires)			
Incident Location on Site:			
Incident Workplace Address			
Region where incident occurred:	<input type="checkbox"/> Abu Dhabi	<input type="checkbox"/> Al Ain	<input type="checkbox"/> Western region
Applicable Reports:	<input type="checkbox"/> Police	<input type="checkbox"/> Medical	<input type="checkbox"/> Investigation report and Photos <input type="checkbox"/> Other (Specify)
Attached:	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>5. Injured Person's Personal Details (For Injuries):</b> <i>In case of an incident with more than one injured person, complete the information for each person using separate forms</i>			
Name:		Occupation:	
Relationship with Entity:	<input type="checkbox"/> Entity Employee	<input type="checkbox"/> Contractor Employee	<input type="checkbox"/> Other Person (e.g. Visitor,)

Nationality:		Date of Birth:	
Passport Number:		Length of Service:	___ Years __ Months
Contact Phone Number:		Gender:	<input type="checkbox"/> Male <input type="checkbox"/> Female

Part B – Incident Investigation Summary	
1. Incident Causes Details: <i>To be supported with the incident investigation report</i>	
<b>Immediate Cause</b> (Unsafe Act)	<input type="checkbox"/> Failure to secure <input type="checkbox"/> Failure to warn <input type="checkbox"/> Removing / Defeating Safety Devices <input type="checkbox"/> Failure to use PPE properly <input type="checkbox"/> Operating at improper speed <input type="checkbox"/> Lack of awareness / knowledge <input type="checkbox"/> Lack of attention / concentration <input type="checkbox"/> Violation / taking shortcuts <input type="checkbox"/> Operating equipment without authority <input type="checkbox"/> Servicing equipment in operation <input type="checkbox"/> Using defective equipment / tools <input type="checkbox"/> Using equipment improperly <input type="checkbox"/> Improper lifting/ loading/ placement <input type="checkbox"/> Improper position for task <input type="checkbox"/> Horseplay ( <i>practical joke with harmful impacts</i> ) <input type="checkbox"/> Others _____
<b>Immediate Cause</b> (Unsafe Conditions)	<input type="checkbox"/> Inadequate guards or barriers <input type="checkbox"/> Inadequate warning system or notice <input type="checkbox"/> Inadequate ventilation <input type="checkbox"/> Fire and explosion hazards <input type="checkbox"/> High / Low temperature exposure <input type="checkbox"/> Hazardous gases/dusts/vapors/fumes <input type="checkbox"/> Defective tools, equipment or materials <input type="checkbox"/> Others _____ <input type="checkbox"/> Inadequate or improper protective equipment <input type="checkbox"/> Inadequate or excess illumination <input type="checkbox"/> Congestion/ restricted action/ poor access <input type="checkbox"/> Poor housekeeping, disorder <input type="checkbox"/> Excessive noise exposure <input type="checkbox"/> Radiation exposure <input type="checkbox"/> Equipment failure
<b>Root Causes</b> (Personal factor)	<input type="checkbox"/> Physical Capability <i>(Any sensory deficiency, Inadequate size or strength or physical disabilities)</i> <input type="checkbox"/> Mental State <i>(poor judgment, memory failure, poor condition, fears or emotional disturbance)</i> <input type="checkbox"/> Behavior <i>(save time, avoids discomfort, improper supervisory, inadequate disciplinary process or inappropriate aggression)</i> <input type="checkbox"/> Human Error <input type="checkbox"/> Physical Condition <i>(previous injury/illness, Fatigue, blood sugar or impairment due to drugs)</i> <input type="checkbox"/> Skill Level <i>(Inadequate required skill, lack of coaching on skill or infrequent performance of skill)</i> <input type="checkbox"/> Mental Stress <i>(Frustration, confusion/conflicting directions, emotional overload, extreme meaningless activities or concentration/judgment demands)</i> <input type="checkbox"/> Others _____
<b>Root Causes</b> (System Factor)	<input type="checkbox"/> Inadequate Training / Knowledge transfer <input type="checkbox"/> Inadequate / Missing Work Procedures (SoP) <input type="checkbox"/> Inadequate Purchasing/Material handling <input type="checkbox"/> Inadequate Tools/Equipment <input type="checkbox"/> Inadequate Risk Assessment / Management <input type="checkbox"/> Inadequate Contractor Management <input type="checkbox"/> Inadequate Management of Change <input type="checkbox"/> Others _____ <input type="checkbox"/> Inadequate Leadership Supervision <input type="checkbox"/> Inadequate Incident Investigation / Analysis <input type="checkbox"/> Inadequate Engineering / Design / Controls <input type="checkbox"/> Inadequate Maintenance <input type="checkbox"/> Inadequate Communication <input type="checkbox"/> Inadequate Planned Inspections <input type="checkbox"/> Inadequate Emergency Response Plan

2. Injury Details:	
To be supported with diagnosis by Licensed Health Care Professional and/or Medical Report	
<b>Nature of Injury / Illness:</b>	<input type="checkbox"/> Abrasions / Bruising <input type="checkbox"/> Amputation - Traumatic <input type="checkbox"/> Bite / Sting <input type="checkbox"/> Burn <input type="checkbox"/> Concussion <input type="checkbox"/> Crush / Internal Injury <input type="checkbox"/> Cuts/ Laceration / Open Wound <input type="checkbox"/> Hearing Loss / Deafness <input type="checkbox"/> Dislocation <input type="checkbox"/> Electric Shock <input type="checkbox"/> Foreign Body under Skin <input type="checkbox"/> Fracture <input type="checkbox"/> Foreign Body in Eye <input type="checkbox"/> Infectious Disease <input type="checkbox"/> Hernia <input type="checkbox"/> Heat Related Illness <input type="checkbox"/> Occupational Illness / Disease <input type="checkbox"/> Musculoskeletal Disorder - Chronic / RSI <input type="checkbox"/> Nerve / Spinal Cord Injury <input type="checkbox"/> Psychological (Stress) <input type="checkbox"/> Poisoning / Toxic Effect - Ingestion <input type="checkbox"/> Poisoning / Toxic Effect – Inhalation <input type="checkbox"/> Strain / Sprain <input type="checkbox"/> Respiratory Disease <input type="checkbox"/> Skin Irritation / Disease <input type="checkbox"/> Other _____ <input type="checkbox"/> Other _____
<b>Mechanism of Injury / Illness:</b>	<input type="checkbox"/> Bite / Sting <input type="checkbox"/> Biological Factors <input type="checkbox"/> Cave-In or Collapse <input type="checkbox"/> Chemicals / Substances / Radiation <input type="checkbox"/> Drowning / Submersion <input type="checkbox"/> Dust / Fumes / Gases <input type="checkbox"/> Extreme Temperature / Fire <input type="checkbox"/> Electricity <input type="checkbox"/> Equipment / Property Damage <input type="checkbox"/> Hit by Moving Object / Crush / Vehicle <input type="checkbox"/> Manual Handling <input type="checkbox"/> Fall from Height <input type="checkbox"/> Occupational Violence <input type="checkbox"/> Penetrating Injury (needle stick, puncture wound) <input type="checkbox"/> Mental Stress <input type="checkbox"/> Repetitive Motion <input type="checkbox"/> Slip, Trip and Fall <input type="checkbox"/> Sound / Pressure <input type="checkbox"/> Struck by Falling Object <input type="checkbox"/> Other Unspecified Mechanism:
<b>Agency / Source of Injury / Illness:</b>	<input type="checkbox"/> Animal / Human <input type="checkbox"/> Confined Space <input type="checkbox"/> Environmental Conditions <input type="checkbox"/> Fixed Machinery / Plant <input type="checkbox"/> Infectious Agent <input type="checkbox"/> Materials or Chemical Substances <input type="checkbox"/> Mobile Plant / Equipment <input type="checkbox"/> Non-Powered Equipment / Tools / Appliances <input type="checkbox"/> Powered Equipment / Tools / Appliances <input type="checkbox"/> Road Transport / Vehicles <input type="checkbox"/> Scaffolding or Ladders <input type="checkbox"/> Sharps / Scalpels / Needles / etc. <input type="checkbox"/> Trench or Excavations <input type="checkbox"/> Other
<b>Bodily Location:</b>	<input type="checkbox"/> Head / Neck <input type="checkbox"/> Cervical Spine <input type="checkbox"/> Ear <input type="checkbox"/> Eye <input type="checkbox"/> Face (excluding eye) <input type="checkbox"/> Forehead <input type="checkbox"/> Mouth <input type="checkbox"/> Neck <input type="checkbox"/> Nose <input type="checkbox"/> Scalp / Skull
	<input type="checkbox"/> Trunk <input type="checkbox"/> Abdomen <input type="checkbox"/> Back <input type="checkbox"/> Genitals <input type="checkbox"/> Pelvis <input type="checkbox"/> Spine <input type="checkbox"/> Thorax
	<input type="checkbox"/> Upper Extremity <input type="checkbox"/> Clavicle (Collar Bone) <input type="checkbox"/> Elbow <input type="checkbox"/> Fingers (other than Thumbs) <input type="checkbox"/> Forearm <input type="checkbox"/> Hand <input type="checkbox"/> Shoulder <input type="checkbox"/> Thumb <input type="checkbox"/> Upper Arm <input type="checkbox"/> Wrist
	<input type="checkbox"/> Lower Extremity <input type="checkbox"/> Ankle <input type="checkbox"/> Buttocks <input type="checkbox"/> Foot <input type="checkbox"/> Hip / Groin <input type="checkbox"/> Knee <input type="checkbox"/> Lower Leg <input type="checkbox"/> Thigh <input type="checkbox"/> Toes
	<input type="checkbox"/> Internal Organs <input type="checkbox"/> Arteries <input type="checkbox"/> Brain <input type="checkbox"/> Heart <input type="checkbox"/> Intestines <input type="checkbox"/> Kidney <input type="checkbox"/> Liver <input type="checkbox"/> Lungs <input type="checkbox"/> Spleen <input type="checkbox"/> Stomach
	<input type="checkbox"/> General <input type="checkbox"/> Heat Related <input type="checkbox"/> Occupational Illness <input type="checkbox"/> Other:

**3. Additional Information:**  
*(additional information to complete the investigation as required by clause 5.4 of ADOSH-SF Mechanism 11.0 - to include information not already covered by Form G1.)*

**Max word count 200 words, further information to be provided in the form of an investigation report.**

**4. Actions Taken Immediately after the Incident:**  
*(Attach additional pages if more space is required)*

No.	Actions	Responsibility	Date Completed:
1.			
2.			
3.			

**5. Incident Root Cause(s):**  
*(Refer to Section 1. Attach additional pages if more space is required)*

1.	
2.	
3.	

**6. Corrective Actions to prevent Recurrence:**  
*(Attach additional pages if more space is required)*

No.	Actions:	Person Responsible:	Target Date
1.			
2.			
3.			

**7. Incident Cost:**  
*(Approximate / Best Estimate)*

No.	Item / Area	Amount (Dhs.)
1.	<input type="checkbox"/> Injury Cost (Treatment, Hospital, Transport, Insurance, etc.)	
2.	<input type="checkbox"/> Legal Cost (Compensation claims, judicial prosecutions, etc. – Federal Law No. 8)	
3.	<input type="checkbox"/> Productivity Cost (Business disruptions, Delays, Production loss / day, Material, Salaries, etc.)	
4.	<input type="checkbox"/> Asset Cost (Property, Machinery, Equipment, Structure, Vehicle, etc. – Repair & Maintenance)	
5.	<input type="checkbox"/> Asset Cost (Property, Machinery, Equipment, Structure, Material, Vehicle, etc. – Replacement)	
6.	<input type="checkbox"/> Enforcement Action (Penalty Issued by Authority)	

7.	<input type="checkbox"/>	Incident Scene / Area Restoration Cost (arrangements to making safe, cleanup, etc.)	
8.	<input type="checkbox"/>	Other Cost relevant to / associated with the Incident	
9.		<b>Total Cost</b>	

**8. Risk Assessment:**  
(considering / implementing the post incident corrective actions and controls): Refer to ADOSH-SF Technical Guideline on Process of Risk Management

Probability:	<input type="checkbox"/> Rare	<input type="checkbox"/> Possible	<input type="checkbox"/> Likely	<input type="checkbox"/> Often	<input type="checkbox"/> Frequent
Severity of Consequence:	<input type="checkbox"/> Insignificant	<input type="checkbox"/> Minor	<input type="checkbox"/> Moderate	<input type="checkbox"/> Major	<input type="checkbox"/> Catastrophic
Level of Residual Risk:	<input type="checkbox"/> Low	<input type="checkbox"/> Moderate	<input type="checkbox"/> High	<input type="checkbox"/> Extreme	

**9. Declaration by Injured Person (If applicable / possible)**

I declare that all information provided in this document is true, correct and complete.

Name of Injured Person or Representative:		Signature of Injured Person or Representative:	
Date : (DD/MM/YYYY)			

**Declaration by Reporting Entity:**

I declare that all information provided in this document is true, correct and complete.

Complete investigation report attached – as per *Mechanism 11.0 – Incident Notification, Investigation and Reporting*

Relevant evidence included / attached to report (e.g. Copies of Relevant Procedures, Permits to Work, Photos, Drawings, MSDS, Copy of Police Report, Copy of Medical Report, Interviews, etc.)

I declare that corrective actions listed in this form and/or the attached investigation report will be fully implemented in a timely manner

Incident Investigation Status:	<input type="checkbox"/> Closed – Completed	<input type="checkbox"/> Report attached
Signature of the CEO / MD: (Top Manager)		Official Stamp:
Date : (DD/MM/YYYY)		

Official Use by SRA		
Requires Reporting to ADPHC <input type="checkbox"/> Yes <input type="checkbox"/> No	Requires SRA Investigation / Follow-up <input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Remarks:</b>		
Relevant Authority Stamp	Entered into Database by:	
	Name:	
	Signature:	
	Date: (DD/MM/YYYY)	
	Reviewed by:	
	Name:	
	Signature:	
	Date: (DD/MM/YYYY)	

**Note: Personal information will not be disclosed to other parties without entity's consent unless required to do so by law**